

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Herbert Howell Waddell

Serial No.: Not yet assigned

Filed: Herewith

For: Apparatus for Gathering, Picking up and Carrying Loose Materials

98 Robbins Avenue  
Berkeley Heights, New Jersey 07922  
October 20, 2000

Hon. Commissioner of Patents and Trademarks  
Washington, DC 20231

Sir:

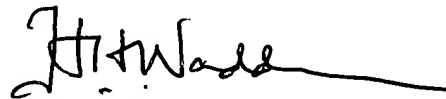
EXPRESS MAIL CERTIFICATE OF MAILING  
FOR ABOVE-IDENTIFIED APPLICATION

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Printed Name: Herbert Howell Waddell

Respectfully submitted,

  
H. H. Waddell

10-23-00

Herbert H. Waddell  
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98 Robbins Avenue  
Berkeley Heights, New Jersey 07922

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October 20, 2000

Commissioner of Patents and Trademarks  
Washington, DC 20231

Re: Application for U.S. Patent  
Inventor's Docket IP-902


Dear Sir:

Enclosed you will find the following items relating to a patent application, titled "Apparatus for Gathering, Picking up and Carrying Loose Materials":

1. Specification with claims.
2. Drawings - one sheet.
3. Inventor's Declaration.
4. Money order in the amount of \$355.00.
5. Statement Claiming Small Entity Status.
6. Certificate of Mailing - Express Mail No. EK57012698705 dated October 20, 2000.

I trust you will find these items in order.

Sincerely yours,

  
H. H. Waddell

enc.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**STATEMENT CLAIMING SMALL ENTITY STATUS  
(37 CFR 1.9(f) & 1.27(b))—INDEPENDENT INVENTOR**

Docket Number (Optional)

Applicant, Patentee, or Identifier: Herbert Howell Waddell (H. H. Waddell)Application or Patent No.: Not yet assigned

Filed or Issued: \_\_\_\_\_

Title: Apparatus for gathering, picking up and carrying  
loose materials

As a below named inventor, I hereby state that I qualify as an independent inventor as defined in 37 CFR 1.9(c) for purposes of paying reduced fees to the Patent and Trademark Office described in:

- ☒ the specification filed herewith with title as listed above.
- ☐ the application identified above.
- ☐ the patent identified above.

I have not assigned, granted, conveyed, or licensed, and am under no obligation under contract or law to assign, grant, convey, or license, any rights in the invention to any person who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern, or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below:

- ☒ No such person, concern, or organization exists.
- ☐ Each such person, concern, or organization is listed below:

Separate statements are required from each named person, concern, or organization having rights to the invention stating their status as small entities. (37 CFR 1.27)

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

Herbert Howell Waddell

NAME OF INVENTOR

NAME OF INVENTOR

NAME OF INVENTOR

Herbert Howell Waddell

Signature of inventor

Signature of inventor

Signature of inventor

October 20, 2000

Date

Date

Date